Lightning-generated NO_x seen by the Ozone Monitoring Instrument during NASA's Tropical Composition, Cloud and Climate Coupling Experiment (TC^4)

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Received 31 August 2009; revised 1 December 2009; accepted 23 March 2010; published 5 August 2010.

[1] We present case studies identifying lightning-generated upper tropospheric NO_x (LNO_x) observed during NASA's Tropical Composition, Cloud and Climate Coupling Experiment (TC^4) in July and August 2007. In the campaign, DC-8 aircraft missions, flown from Costa Rica, recorded in situ NO_2 profiles near active storms and in relatively quiet areas. We combine these TC^4 DC-8 data with satellite data from the Ozone Monitoring Instrument (OMI) to estimate the lightning-generated NO_2 (LNO_2), above background levels, in the observed OMI NO_2 fields. We employ improved off-line processing techniques to customize the OMI retrieval for LNO_2. Information on lightning flashes (primarily cloud-to-ground) observed by the Costa Rica Lightning Detection Network operated by the Instituto Costarricense de Electricidad and the World Wide Lightning Location Network were examined over storms upwind of regions where OMI indicates enhanced LNO_2. These flash data are compared with Tropical Rainfall Measuring Mission/Lightning Imaging Sensor satellite data to estimate total flashes. Finally, using $[NO_x]/[NO_2]$ ratios from NASA's Global Modeling Initiative model, we estimate LNO_x production per flash for four cases and obtain rates of ~100-250 mol/flash. These are consistent with rates derived from previous studies of tropical and subtropical storms and below those from modeling of observed midlatitude storms. In our study, environments with stronger anvil-level winds were associated with higher production rates. LIS flash footprint data for one of the low-LNO_x production cases with weak upper tropospheric winds suggest below-average flash lengths for this storm. LNO_x enhancements over background determined from the OMI data were in less than, but roughly proportional to, aircraft estimates.

Citation: Bucsela, E. J., et al. (2010), Lightning-generated NO_x seen by the Ozone Monitoring Instrument during NASA's Tropical Composition, Cloud and Climate Coupling Experiment (TC^4), *J. Geophys. Res.*, *115*, D00J10, doi:10.1029/2009JD013118.

1. Introduction

[2] NO_2 and NO (together referred to as NO_x) are trace gases important in ozone chemistry in both the troposphere and stratosphere. Worldwide, anthropogenic emissions of NO_x dominate the NO_x budget. However, considerable uncertainty surrounds emission rates from natural sources (lightning and soil). Lightning is the largest nonanthropogenic source of NO_x in the free troposphere (hereafter, we refer to lightning-generated NO_x as LNO_x). The most accepted estimates of global LNO_x production range from 2 to 8 Tg (N) yr^{-1} [*Schumann and Huntrieser*, 2007], or about 10–15% of the total NO_x budget. The effects of lightning are felt most strongly in the middle and upper part of the troposphere, where this source plays the dominant role in controlling NO_x and ozone amounts, despite the greater overall magnitude of the anthropogenic NO_x emissions [*R. Zhang et al.*, 2003]. In this region, NO_x has a lifetime of 5–10 times longer than the ~1 day lifetime in the lower troposphere [*Jaeglé et al.*, 1998; *Martin et al.*, 2007] so that a given amount of LNO_x in the upper troposphere can have a greater impact on ozone chemistry. Ozone production can proceed at rates of up to 10 ppbv per day in the lightning-enhanced convective outflow plumes of ozone precursors [*DeCaria et al.*, 2005; *Ott et al.*, 2007;

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0148-0227/10/2009JD013118

Pickering et al., 1996]. Ozone is the third most important greenhouse gas, and ozone enhancements near the tropopause have the greatest effect on its radiative forcing. Therefore, additional ozone produced downwind of thunderstorm events is particularly effective in climate forcing.

[3] Recent studies have attempted to constrain the magnitude of the global LNO_x source using satellite observations. *Bond et al.* [2002] combined satellite measurements of lightning with models based on climatological parameterizations of LNO_x production to infer a global production rate of 6.3 Tg (N) yr^{-1}. Other studies have used satellite measurements of NO_2 directly in their calculations. *Beirle et al.* [2004] used Global Ozone Monitoring Instrument (GOME) NO_2 column densities over Australia and data from the Lightning Imaging Sensor (LIS) to estimate that lightning produces 2.8 Tg (N) yr^{-1}, but the range of uncertainty was large (0.8–14 Tg (N) yr^{-1}). *Beirle et al.* [2006] studied LNO_x production from a storm system in the Gulf of Mexico using GOME data and National Lightning Detection Network (NLDN) observations. Extrapolating their findings to the global scale, they estimated an LNO_x source of 1.7 Tg (N) yr^{-1} with a range of uncertainty from 0.6 to 4.7 Tg (N) yr^{-1}. *Boersma et al.* [2005] used GOME NO_2 observations and the TM3 global chemical transport model with two different LNO_x parameterizations and concluded that LNO_x production was between 1.1 and 6.4 Tg (N) yr^{-1}. In their study, stratospheric NO_2 was estimated and removed from the data by an assimilation approach using the TM3 model. *Martin et al.* [2007] used Goddard Earth Observing System chemistry model (GEOS-Chem) simulations in conjunction with space-based observations of NO_x, ozone, and nitric acid to estimate LNO_x production of 6 ± 2 Tg (N) yr^{-1}. Their NO_2 data were obtained using the Scanning Imaging Absorption Spectrometer for Atmospheric Cartography/chemistry (SCIAMACHY) instrument and analyzed with methods similar to those described in the work of *Martin et al.* [2002]. In general, satellite observations of LNO_x are challenging because of issues of cloud cover and because most upper tropospheric NO_x exists in the form of NO, which is not directly detectable from space. *Beirle et al.* [2009] have demonstrated, through the use of cloud/chemistry and radiative transfer modeling, that nadir-viewing satellites likely have a sensitivity near or less than 50% for LNO_x produced in a typical marine convective system. Therefore, when satellite data are used to estimate LNO_x, this sensitivity factor must be taken into account.

[4] A critical quantity in many studies that attempt to infer global production rates is the rate of NO_x generation in individual thunderstorms, often expressed as the number of moles of NO_x produced per lightning flash. Estimates for this NO_x generation can vary by at least an order of magnitude [*X. Zhang et al.*, 2003], with many estimates between 50 and 700 mol/flash [*Ott et al.*, 2007, 2010, and references therein]. From studies of individual storms, these estimates have been extrapolated to provide global LNO_x production rates. However, such extrapolations are complicated by variations in pressure level, intensity, and length of lightning strokes for tropical versus midlatitude storms. The satellite investigation by *Beirle et al.* [2006] found that, on average, lightning in the Gulf of Mexico system produced 90 mol/flash NO. Modeling studies [e.g., *Ott et al.*, 2010] have examined how these parameters vary for intracloud (IC) and cloud-to-ground (CG) flashes in different latitude regions. The variations may result in different LNO_x production rates, P_{IC} and P_{CG}, for IC and CG flashes, respectively. Although early investigations [e.g., *Price et al.*, 1997] suggest that the value of the ratio P_{IC}/P_{CG} is much less than 1 (~0.1), more recent studies provide evidence that the value may be near unity or even greater [*DeCaria et al.*, 2005; *Fehr et al.*, 2004; *Ott et al.*, 2007, 2010; *X. Zhang et al.*, 2003]. *Huntrieser et al.* [2008] suggest that overall production of LNO_x per flash, P_{IC+CG}, may be 2–8 times larger in subtropical and midlatitude storms than in tropical storms. This result may be due to longer flash channel lengths outside the tropics in regions of greater vertical wind shear.

[5] In this paper we examine four tropical convective events from the NASA Tropical Composition, Clouds, and Climate Coupling (TC^4) campaign [*Toon et al.*, 2010] and compute the number of moles of LNO_x per flash using a combination of data from the Ozone Monitoring Instrument (OMI) instrument on the Aura satellite, in situ observations from the DC-8 aircraft, global chemical transport model output, and ground-based lightning flash observations. Our approach differs from those of previous satellite investigations in the methods used to remove the stratospheric and tropospheric background (as described later in this paper), and because we derive LNO_x production per flash directly from an estimate of accumulated LNO_x and lightning flash counts, rather than by adjusting model parameters to match the satellite data. Our use of OMI data is better suited to individual case studies than are the lower-resolution GOME and SCIAMACHY data. We also focus exclusively on tropical-latitude storms that occurred over ocean regions. In these regions convection is less tied to late afternoon diurnal cycles (and hence more likely to occur before or near the OMI overpass time of ~1345 local time [LT]), and NO_2 contamination from anthropogenic sources is less [*Beirle et al.*, 2009]. We use measured OMI NO_2 columns and CG flash counts. From these we estimate the LNO_x columns and the total flashes (IC + CG) and combine results to obtain the P_{CG+IC} for the storms on the 4 days studied. We then examine our results in the context of estimates of LNO_x per flash from other studies.

[6] Section 2 describes the data we used in our analyses. Section 3 details the calculations that were performed in the LNO_x retrieval process and describes how we used the retrieved LNO_x values, in combination with flash rates, to estimate production per flash. Results are presented in section 4. We discuss the implications of the derived values and their uncertainties in section 5 and draw conclusions in section 6.

2. Data Overview

2.1. TC^4: Aircraft Measurements and Lightning Data

[7] During July and August 2007, NASA launched the TC^4 experiment to study a variety of atmospheric physical and chemical processes in the Eastern Pacific and other areas near Costa Rica. Among TC^4 objectives was validation of measurements from OMI, including cloud properties and column amounts of the trace gases ozone, NO_2, and SO_2. NO and NO_2 measurements at a variety of altitudes near tropical convection were also intended to assess the lightning NO_x budget. In this study, we used in situ NO_2 measurements

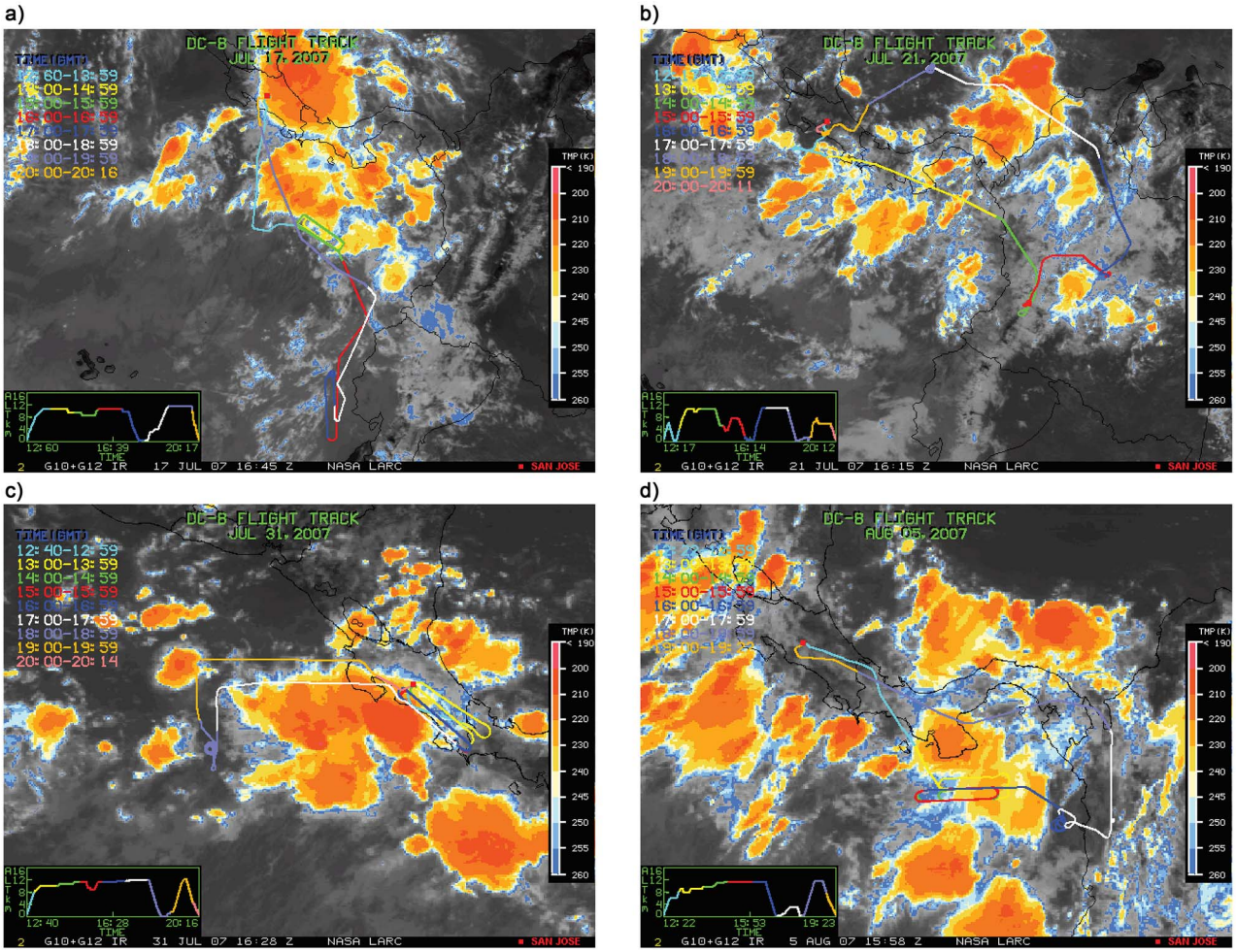

Figure 1. DC-8 flight tracks in the vicinities of storms sampled on (a) 17 July, (b) 21 July, (c) 31 July, and (d) 5 August 2007 during the TC^4 mission superimposed on GOES-10/12 color-enhanced infrared images. Insets show the pressure altitude during flight.

from the University of California at Berkeley's laser-induced fluorescence instrument [*Thornton et al.*, 2000, 2003] onboard the NASA DC-8 aircraft, which flew in and around thunderstorms and also sampled relatively undisturbed air in "clean" areas of the Pacific and Caribbean. Figure 1 shows partial DC-8 flight tracks for the sampling within and near convective systems on 17, 21, and 31 July and on 5 August.

[8] Observed lightning flashes near the storms of interest were counted so that the per-flash production rates of LNO_x could be determined. In this study, we use flash data from ground-based detectors of the local Costa Rica Lightning Detection Network (CRLDN) and the global-scale Worldwide Lightning Location Network (WWLLN) to count flashes from nearby storms on the 4 days examined in this study. The CRLDN records lightning flashes within and near Costa Rica with an efficiency that decreases with distance from the country. The network consists of five IMPACT (Improved Performance from Combined Technology) sensors, similar to those used in the U.S. NLDN [*Cummins et al.*, 1998] distributed throughout Costa Rica. During TC^4, the WWLLN consisted of a network of ~25 detectors distributed throughout the world [*Rodger et al.*, 2006]. No complete global observations of the spatial variability of the detection efficiency of WWLLN are available, although the efficiency has been increasing in recent years as the network grows [*Rodger et al.*, 2009]. The WWLLN is 30–40% more efficient at detecting flashes with peak currents above 40 kA, which is significantly higher than that of typical CG flashes. There is also some indication that the detection efficiency is greater over ocean than over land in the TC^4 region [*Lay et al.*, 2009]. Both detector networks respond primarily to CG flashes and to a smaller percentage of IC flashes. To obtain the total (IC + CG) flash rate, it was necessary to scale the ground-based counts using a reference detector that efficiently recorded both types of flashes. The reference used was data from the LIS instrument on the Tropical Rainfall Measuring Mission (TRMM) [*Boccippio et al.*, 2002] satellite, recorded during all overpasses of Costa Rica and surrounding areas during July and August 2007.

2.2. OMI NO_2 Data

[9] The OMI instrument is onboard the Aura satellite, which was launched July 2004 [*Levelt et al.*, 2006]. In

addition to providing daily global measurements of ozone, OMI records other important trace gases; notably NO_2. Because NO and NO_2 exist in photochemical equilibrium, their sum, NO_x, is the quantity of interest. Owing to differences in its absorption spectrum, NO is not readily detectable from space, and the total NO_x amount must be inferred from photochemical models.

[10] The standard NO_2 product from OMI has been described by *Bucsela et al.* [2006, 2008] and Celarier (see http://toms.gsfc.nasa.gov/omi/no2/OMNO2_readme.pdf). Backscattered radiation in the form of spectral data from 60 pixels across the satellite track is imaged onto a CCD array, at a spatial resolution of 13 × 24 km^2 at nadir. The spectrum at each pixel is fitted with an NO_2 absorption cross section to determine the total NO_2 slant column amount. In the OMNO2 product, the slant columns are also corrected for an instrumental artifact, the "cross-track anomaly," with a procedure that cross-track averages data from 15 consecutive orbits between ±55° latitude. The cross-track anomaly correction is computed as an orbital constant at each of the 60 cross-track positions. An air mass factor (AMF), defined as the ratio of a slant column amount to the corresponding vertical column amount, is computed for a stratospheric NO_2 profile and divided into the slant column to give an "initial" vertical column amount. The stratospheric column amount is estimated from the global distribution of initial columns by masking polluted regions and interpolating the remaining field in narrow latitude zones using planetary wave 2 functions. The tropospheric NO_2 vertical column (defined as positive) is computed from the initial and stratospheric amounts and a tropospheric AMF.

[11] For this study, we have developed a different method to estimate tropospheric NO_2 in the regions affected by lightning (items 1–6 below). Some of the modifications in our approach (items 1–3 and 6) anticipate changes planned for the updated OMNO2 standard product data release due in 2010: (1) optimize the cross-track anomaly correction for tropical measurements; (2) apply a correction to the stratospheric field to account for tropospheric contamination; (3) compute tropospheric NO_2 slant column and allow positive and negative values; (4) use observed in situ NO_2 profiles to get AMFs appropriate for convective outflow; (5) subtract background (nonlightning NO_2) derived from OMI data; and (6) improve error estimates. These are discussed further in section 3.

3. Analysis

[12] In this section we describe our approach for estimating the LNO_x signal from the OMI data. Data from four days (17, 21, and 31 July and 5 August 2007) were selected from the DC-8 flight days during TC^4 for analysis in this study; they are based on the combination of convective activity within 12 h of OMI overpass, as well as a detectable signal in the OMI NO_2 field near the storms. The lightning signal was too weak to be detectable by OMI in the regions of two additional convective systems sampled by the DC-8 (24 July and 8 August). Some of the analysis also relies on aircraft measurements of in situ NO_2 from the DC-8. We also discuss use of the lightning data from ground networks of detectors to obtain total flash estimates for each of the regions studied.

3.1. OMI NO_2 and LNO_x

[13] The LNO_x signal near convection is extracted from the OMNO2 data. The procedure involves removal of the stratospheric and background-tropospheric components of the OMI slant columns to yield a lightning-generated NO_2 (LNO_2) slant column. The slant column is divided by an AMF representative of an LNO_x profile to yield the LNO_x vertical column, V_L, which is computed as follows:

$$V_L = [S - V_S' \cdot A_S - V_{tBG} \cdot A_{tBG}]/A_{tL}, \quad (1)$$

Where S is the OMNO2 slant column from the spectral fit (corrected for cross-track anomaly); Vs′ is the corrected stratospheric vertical column amount; A_S is the AMF for a stratospheric NO_2 vertical profile; V_{tBG} is the local tropospheric background NO_2 (nonlightning) from OMI data averaged over days without significant convective activity; A_{tBG} is the local tropospheric background AMF (to ground) from OMNO2; and A_{tL} is a factor that converts the LNO_2 slant column to an LNO_x vertical column.

[14] In equation (1) and subsequent equations, variables labeled V and S have units of column density (e.g., molecules cm^{-2}), and the air mass factors (A_S, A_{tBG}, and A_{tL} in equation (1)) are unitless. The quantity in brackets (the LNO_2 slant column) may have positive and negative values.

[15] The slant columns obtained from the OMI spectral fit are corrected for the cross-track anomaly during level 1 to level 2 processing. In this study, we have used a procedure different from that applied in the OMNO2 standard product. Here the data that determine the anomaly are restricted to tropical latitudes between ±30° (rather than the ±55° in OMNO2) and are based on the current orbit, plus 2 adjacent orbits (rather than 14 adjacent orbits). This approach provides sufficient statistics for accurately characterizing the anomaly function, while allowing for variation in the anomaly function during each day and avoiding contamination from polluted regions at middle latitudes.

[16] The second term in equation (1) is the corrected stratospheric slant column, which appears as the product $V_S' \cdot A_S$, where the stratospheric AMF, A_S, is primarily a function of viewing geometry. The corrected stratospheric field V_S' is given by

$$V_S' = V_S - V_{tc} \cdot \overline{A}_t/\overline{A}_s, \quad (2)$$

where V_S is the "unpolluted" (essentially stratospheric) field from the wave 2 analysis in the OMNO2 algorithm. This field is based on OMI data from "clean" regions defined by the algorithm's pollution mask. *Martin et al.* [2002] use a related approach in correcting data from the central Pacific. The mask identifies areas that have annual mean tropospheric column amounts less than 0.5×10^{15} cm^{-2}, as estimated from the GEOS-Chem model [*Bey et al.*, 2001]. The stratospheric field is constructed from data in these relatively unpolluted areas. However, the small amounts of tropospheric NO_2 in these regions can introduce a significant bias in the V_S, comparable to the weak signal of tropospheric NO_2 from lightning. We have corrected this in the present study by subtracting zonal mean (within 9°-wide latitude bands) monthly tropospheric column based on the NASA GMI chemical transport model [*Duncan et al.*, 2007]. V_{tc} is the mean GMI model tropospheric column in the "clean" regions

around the zonal band. Note that V_{tc} is distinct from V_{tBG} in equation (1), which is derived from OMI data in the areas of the TC^4 study near Costa Rica. The factor $\overline{A_t}/\overline{A_s}$ is the ratio of the mean tropospheric to stratospheric AMF in the same regions used to estimate V_{tc}. We use a mean value of 0.7 for this ratio. The resulting V_S' is an approximation of the true stratospheric component of the unpolluted field measured by OMI. The difference between V_S and V_S' ranges from 0.04×10^{15} to 0.13×10^{15} cm^{-2} (~2–5%) and has a relatively large uncertainty, as described in section 5.

[17] The local tropospheric background is the third term in equation (1). It is a slant column amount equal to the product of the tropospheric vertical column, V_{tBG}, and the tropospheric background AMF, A_{tBG}. Treating the background slant column in this manner neglects potential modification of the background NO_2 profile owing to local meteorological effects, but is a good approximation for the small background amounts over tropical oceans [*Beirle et al.*, 2009]. Note that the AMF, $A_{tBG,}$ is computed from the complete NO_2 profile (tropopause to ground) in the presence of clouds. Thus it implicitly accounts for clouds' effects on the *visibility* of background NO_2 from OMI.

[18] The tropospheric background in the vicinity of the TC^4 study (Central America and surrounding areas) was obtained from the average of 5 days of OMI data during July and August 2007, selected on the basis of minimal convective activity. The small number of available days reflected the fact that convection is a near-daily occurrence during the rainy season in this region. The data were further screened using a maximum OMI cloud fraction threshold of 10%. Only data from 2007, the year of TC^4, were used in the analysis to minimize any effects from long-term changes in tropospheric NO_2 or changes in OMI. For each pixel, the background was computed by subtracting the corrected OMI stratospheric amounts (equation 2) from the slant columns and dividing by the tropospheric AMF, A_{tBG}. Both negative and positive values of the background were binned on a 2×2.5 deg^2 geographic grid. In spite of the strict pixel selection criteria, good statistics were obtained, with ~50 to 500 pixels averaged per grid cell. We discuss alternative methods of estimating the tropospheric background in section 5.

[19] A_{tBG} is computed in the operational OMI algorithm using model NO_2 profiles and viewing geometry, and albedo and cloud information from the OMI data product for each measurement (OMI pixel). The expression is given by *Bucsela et al.* [2006] is modified as an integral over pressure; that is,

$$A_{tBG} = \int \frac{dp}{p} \cdot r_{BG}(p) \cdot a(p) \cdot \beta(p). \quad (3)$$

Here the three unitless quantities in the integrand are defined as follows: $r_{BG}(p)$ is the normalized background NO_2 mixing-ratio profile, $a(p)$ is the atmospheric scattering weight (a function of viewing geometry, albedo, surface pressure, cloud pressure, and cloud height) and $\beta(p)$ is a temperature correction factor to adjust for the decrease in amplitude of the NO_2 absorption cross section with temperature. Its value for temperatures in the troposphere and stratosphere is within 20% of unity. Temperatures are climatological geographically gridded (2×5 deg^2) monthly means from the National Centers of Environmental Prediction (NCEP). The temperature dependence is approximated as

$$\beta(p) = 1 - 0.003 \cdot [T(p) - 220], \quad (4)$$

where $T(p)$ is the temperature (K), and the coefficient 0.003 K^{-1} accounts for the temperature variation in cross-section amplitude [*Boersma et al.*, 2001; *Bucsela et al.*, 2006]. The factor A_{tL} in the denominator of equation (1), which may be thought of as the "LNO_x AMF," is computed, following *Beirle et al.* [2009], as

$$A_{tL} = \int \frac{dp}{p} \cdot r_{LNO2}(p) \cdot a(p) \cdot \beta(p)/\gamma(p). \quad (5)$$

In equation (5), $\gamma(p)$ is the photolysis ratio, $[NO_x]/[NO_2]$. The ratio depends on local chemistry and photolysis and thus varies with pressure, temperature, ozone concentration, and the amount of direct and scattered sunlight available. In this study, we use a simplified parameterization of $\gamma(p)$ based on three profiles of this quantity. These were obtained from the GMI model grid cells in the TC^4 region; they represent maximum, mean, and minimum values for 1800 Universal Time Coordinated (UTC; near the OMI overpass time) in layers in the typical cloud outflow zone (500 to 100 hPa). The maximum γ ratio is used for regions above bright clouds, and the mean ratios are used within clouds, down to 100 hPa below cloud tops. The minimum γ ratios are used in all other regions, including clear areas.

[20] The $\gamma(p)$ profiles are shown along with typical $a(p)$ and $\beta(p)$ profiles in Figure 2. The shapes of the profiles a and β show that radiative transfer effects enhance the sensitivity of the OMI slant column to NO_2 at higher altitudes (above ~600 hPa), where the majority of LNO_2 exists. However, this NO_2 represents only a small fraction of the lightning-generated NO_x, given that the γ profiles have values generally greater than 2 at these pressure levels.

[21] We used a single composite NO_2 lightning profile, $r_{LNO2}(p)$, in the computation. We assembled it from the four TC^4 DC-8 aircraft profiles containing the highest amounts of NO_2 above the 750 hPa level, the levels most influenced by lightning-generated NO_x. The profiles were binned using median mixing ratios on a fixed pressure grid, similar to the approach used by *Bucsela et al.* [2008]. Several of the profiles used for the composite, mostly measured near the airport, contained significant amounts of pollution below 750 hPa. Therefore, we extrapolated the mixing ratio of the composite profile at 750 hPa (~38 ppt) to ground. Because none of the four profiles contained sufficient data above 300 hPa, we used three additional profiles from thunderstorm anvil flights for the composite at these high altitudes. A background profile was assembled from the DC-8 flights that contained the smallest NO_2 mixing ratios. This profile was subtracted from the lightning composite. The resultant LNO_2 profile is shown along with the background in Figure 2. The LNO_2 profile is qualitatively consistent with the LNO_2 profiles summarized by *Ott et al.* [2010] from the Cirrus Regional Study of Tropical Anvils and Cirrus Layers-Florida Area Cirrus Experiment (CRYSTAL-FACE), the European Lightning Nitrogen Oxides Project (EULINOX), and the

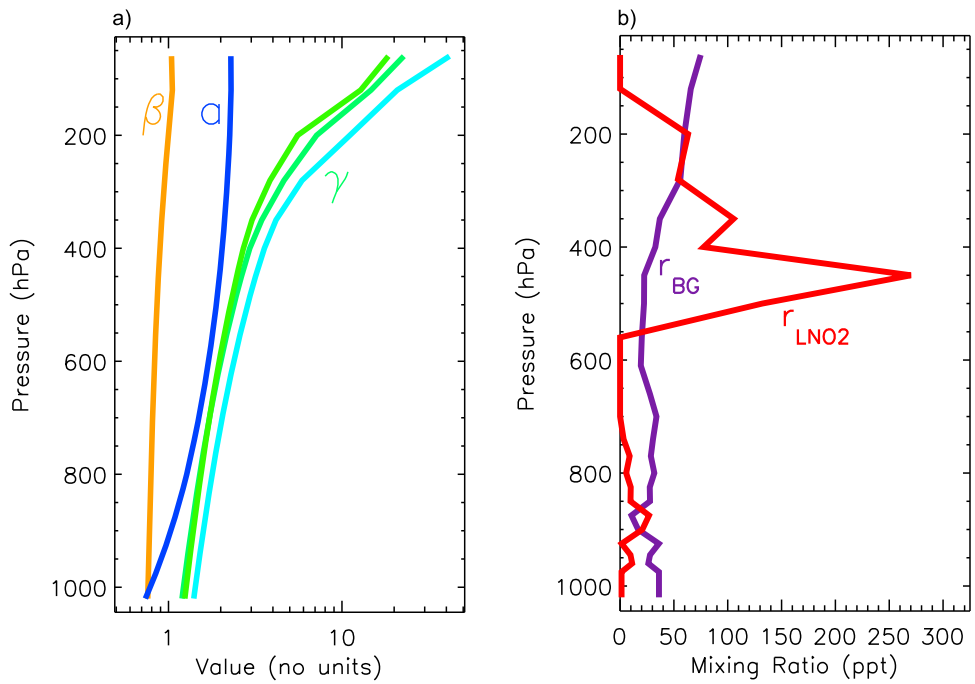

Figure 2. Profiles involved in AMF calculations in this study: (a) r_{BG} = background NO_2, r_{LNO2} = lightning NO_2, and (b) a = atmospheric scattering weight, β = temperature correction factor, γ = three profiles representing the $[NO_x]/[NO_2]$ ratio. The profiles r_{LNO2} and r_{BG} are fixed. All others depend on pixel location (typical examples are shown here).

Stratosphere-Troposphere Experiments: Radiation, Aerosols and Ozone (STERAO) campaigns, showing maxima between 4 and 10 km. The negligible amounts of NO_2 below 600 hPa in the LNO_2 profile are also consistent with the modeling studies of *Tie et al.* [2001, 2002], who showed that the short lifetime of NO_x in the lower troposphere minimizes any lighting enhancements in that region. Uncertainties associated with the LNO_2 profile shape are discussed in section 5.

[22] A perimeter, constructed on a 1° longitude × 1° latitude grid defines the estimated region influenced by lightning NO_x for the day in question. The regions were selected on the basis of the location of recent (within the past 12 h) convection, the mean upper tropospheric wind fields, and examination of the OMI NO_2 field. The regions were designed to minimize potential effects by nearby convective systems. However, such effects remain a possible source of contamination and represent a significant uncertainty in each of the case studies, except the 17 July case.

[23] The value of V_L was obtained from equation (1) for pixels having centers within the perimeter, and a weighted sum was computed. Weights were based on the approximate area of overlap for the pixel with the region. The total number of moles LNO_2 in region is the average V_L, times the area of the region, divided by Avogadro's number.

3.2. Flash Counts

[24] Scaling factors to correct for inefficiencies in the CRLDN and WWLLN detectors (see section 2.1) were computed using several weeks of data from the LIS satellite instrument. This approach was necessary since the LIS only observes a given point on Earth for ~90 s during an overpass and therefore could not provide measurements over entire lifetimes of the individual storms examined here. The CRLDN and concurrent LIS data from overpasses in the vicinity of Costa Rica during July and August 2007 were binned in concentric rings in radius steps of 200 km around the middle of Costa Rica. Only CRLDN flashes that occurred within the LIS field of view were considered in this analysis. From these data, we derived detection fractions for total flashes (CG + IC) in each ring. The scaling factor for CRLDN data, ε_C (the inverse of detection fraction) is:

$$\varepsilon_C = \langle F_{LIS}/F_{CRLDN} \rangle, \tag{6}$$

where F_{LIS} are the LIS satellite flash counts, F_{CRLDN} are the raw CRLDN counts, and $\langle\ \rangle$ refers to averaging in a given ring over the 2 months. Before their use in this calculation, we adjusted the LIS flash counts for the detection efficiency of this instrument on the basis of values provided by *Boccippio et al.* [2002] (e.g., 69% at local noon and 88% at night). Values of ε_C determined for this period were 1.40 in the 0–200 km radius ring, 2.80 in the 200–400 km radius ring, and 9.17 in the 400–600 km ring. Beyond 600 km, the CRLDN data become too uncertain to use in LNO_x analyses. We used ε_C to obtain adjusted CRLDN counts, F_{CRLDN}', for the 31 July storm, which was located near the CRLDN network, and took this value to be the best estimate of total number of flashes for that storm; that is,

$$F_{Total} = F_{CRLDN}' = F_{CRLDN} \cdot \varepsilon_C. \tag{7}$$

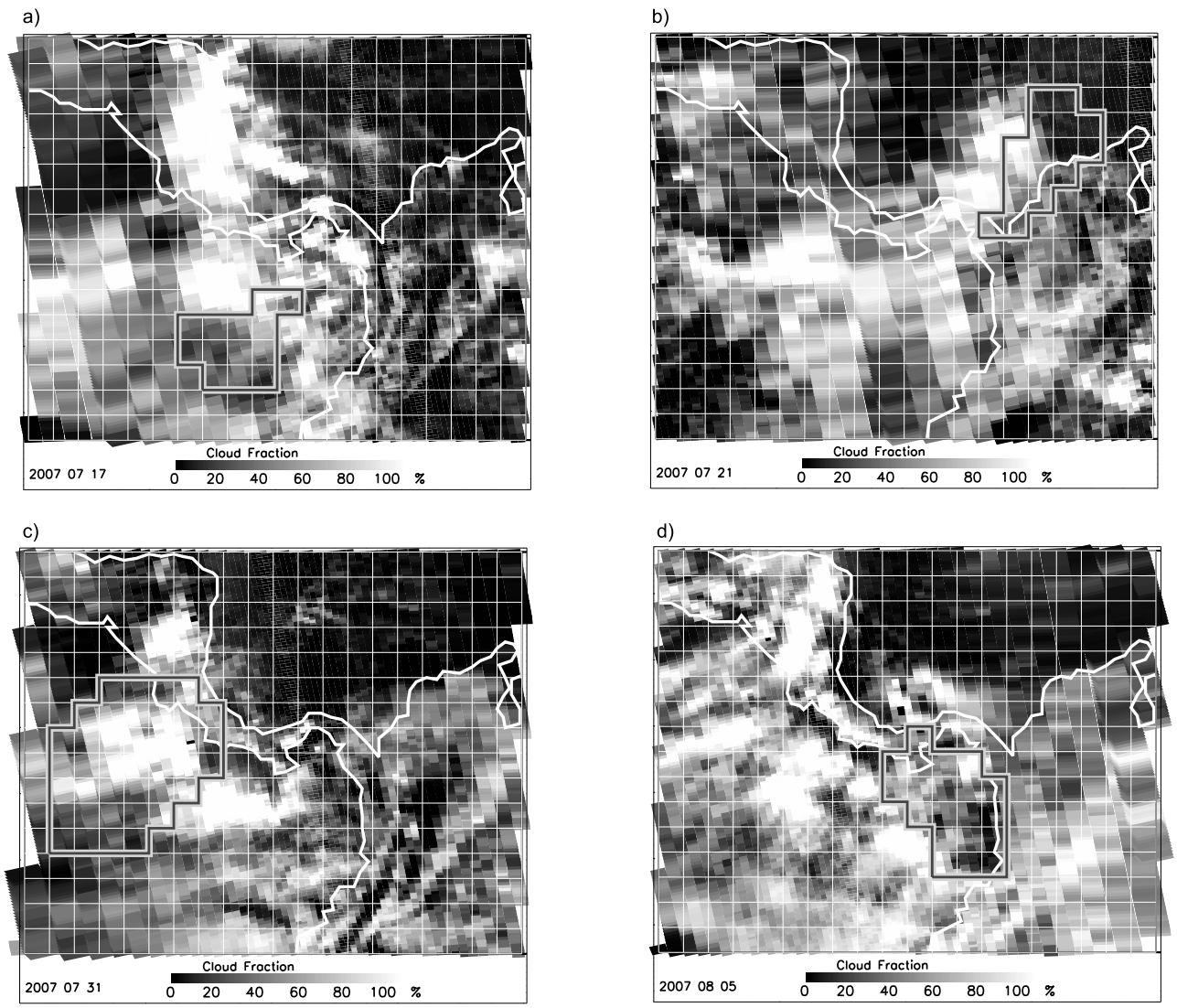

Figure 3. OMI effective geometrical cloud fraction (at the time of OMI overpass) on the four dates in this study (a) 17 July, (b) 21 July, (c) 31 July, and (d) 5 August 2007. The polygons outline regions examined for enhanced NO_2 due to lightning.

We also estimated the detection fraction of the WWLLN network in the TC^4 region. The flash counts from the CRLDN (adjusted using ε_C) and WWLLN for six storms during the TC^4 period in the vicinity of Costa Rica were compared to obtain a second scaling factor ε_W. The factor is

$$\varepsilon_W = \langle F_{CRLDN}'/F_{WWLLN} \rangle, \tag{8}$$

where F_{WWLLN} is the WWLLN flash count. In this case, no information on the spatial variability of the WWLLN is available, because the averaging was done over six storms, all of which were near Costa Rica. We obtained a mean value ε_W = 4.57 with an error of ±36%. This factor was used to compute the total flash counts on 17 and 21 July and 5 August, when storms were relatively far from the CRLDN network; that is,

$$F_{Total} = F_{WWLLN} \cdot \varepsilon_W. \tag{9}$$

Dividing the estimated total flash counts into the moles of LNO_x in the corresponding region gives the estimated number of moles per flash.

4. Results

[25] We obtained measurable OMI NO_2 signals near convection on 4 of the 6 days during the TC^4 experiment on which the aircraft sampled thunderstorm anvils. All four convective systems analyzed are located over the ocean. Therefore, convective transport of surface emissions of NO_x into the anvils of these systems was assumed to be negligible. By comparing the OMI NO_2 field with the cloud field and lightning measurements, and estimating the effects of transport owing to midtropospheric wind fields, we identified regions of possible LNO_x enhancement. The OMI effective geometrical cloud fraction on those days is shown in Figure 3, and the LNO_x fields over the same areas, computed as outlined in section 3, are shown in Figure 4.

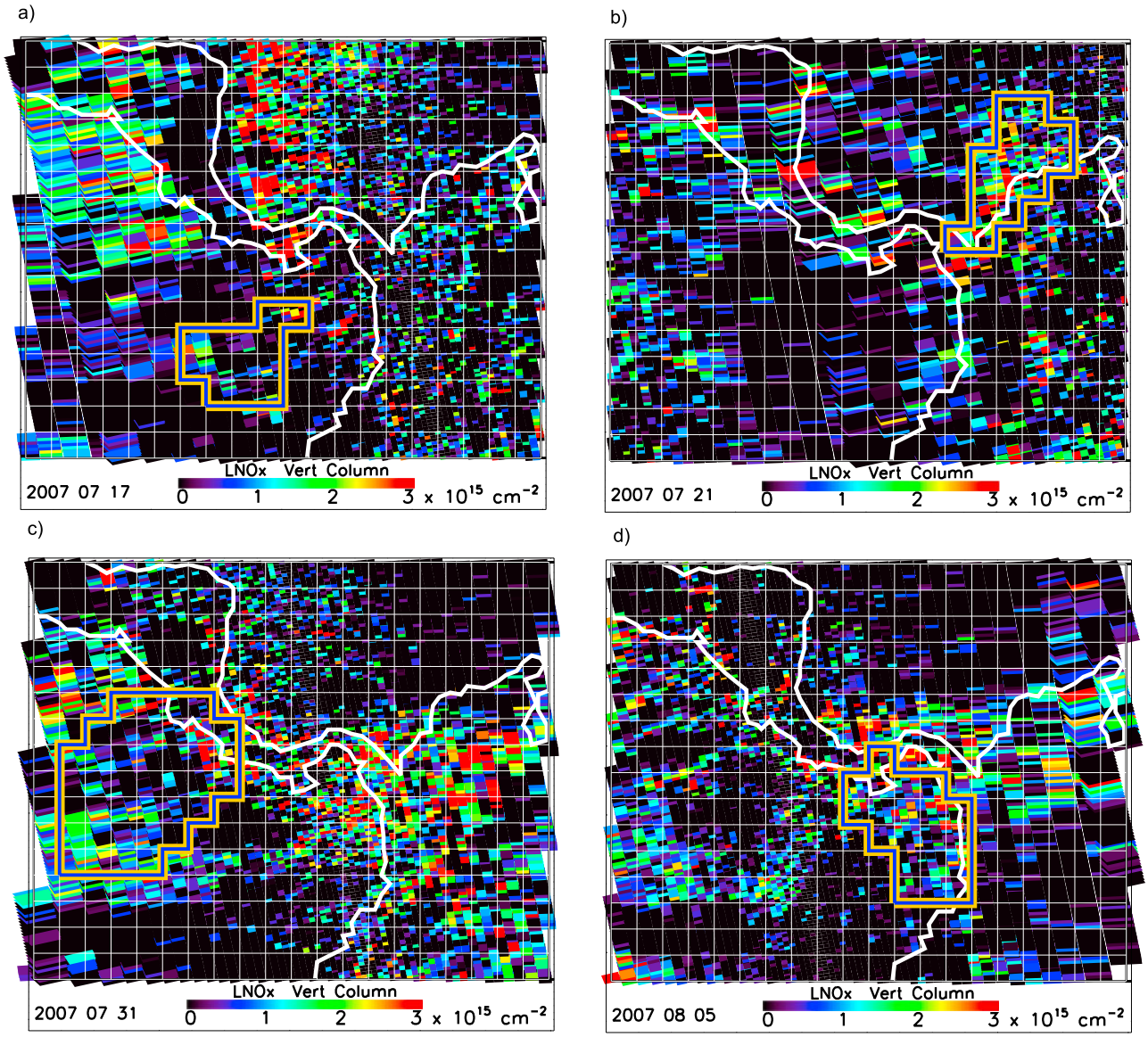

Figure 4. Vertical column densities of LNO_x inferred from OMI data for (a) 17 July, (b) 21 July, (c) 31 July, and (d) 5 August 2007. The polygons outline regions examined for enhanced NO_2 due to lightning.

[26] Most of the regions in Figure 3 are partly cloudy, and we estimate values of A_{tL}, between 0.2 and 0.8, with most values in the range of 0.4 to 0.5. These factors compare well with the factors estimated in the model study of *Beirle et al.* [2009] (referred to as "sensitivity factors" in that study), in spite of the simpler fixed LNO_2 profile and approximation of opaque Lambertian clouds used in the present study. *Ott et al.* [2010] estimated the LNO_2 signal that might be seen in satellite measurements over convective clouds on the basis of visible near-UV penetration of radiation to a depth of 400–600 hPa. Their calculations suggested LNO_2 tropospheric vertical columns of 0.1–2.0 × 10^{15} cm^{-2} should be detectable over active convection. In the present study, the mean LNO_2 column in each of the regions analyzed ranged from 0.1 to 0.3 × 10^{15} cm^{-2}.

[27] Table 1 summarizes information about the regions studied on the 4 days. Shown are the areas of the polygons, the mean anvil-level wind velocities from NCEP reanalysis, the moles of LNO_x, flash counts, and the resultant LNO_x production rates. Derivation of the uncertainty estimates is given in section 5.

[28] LNO_x production per flash was found to be somewhat lower (87–135 mol/flash) in the first two cases (17 and 21 July) and higher (246 and 227 mol/flash) in the latter two cases (31 July and 5 August), with uncertainties in each case on the order of 100%. We note that the first two cases had relatively light anvil-level (300 hPa) winds (2–6 m/s) and that the latter two cases had stronger winds at anvil level (8–13 m/s). These results are suggestive of agreement with the results of *Huntrieser et al.* [2008], who found greater LNO_x production in storms with greater vertical wind shear. The Huntrieser et al. analysis suggests that longer flash length occurs with stronger upper level winds and that the greater length is responsible for greater production per flash. *Huntrieser et al.*

Table 1. Summary of LNO_x Measurement Results

Date	Region	Area (10^3 km^2)	300 hPa Winds (Direction, m/s)	LNO_x (kmol)	Lightning Flashes	P_{IC+CG} (mol/flash)
17 July	South of Panama/CR	160	ENE 4	430	4931	87 ± 252
21 July	NW coast of Colombia	194	W 2 (north side) E 2 (south side)	2765	20,515	135 ± 114
31 July	SW of Costa Rica	478	E 8	3490	14,190	246 ± 287
5 August	W coast of Colombia	246	NE 14	2363	10,388	227 ± 223

[2009] suggest that even within the tropics, substantial variability in production per flash can occur, and may also be related to flash length and associated wind profiles.

5. Discussion

[29] The moles per flash estimates in this study are associated with large uncertainties. In this section we examine the sources of uncertainty in the production estimates and employ comparisons with independent aircraft data obtained during TC^4. We also discuss the current results in the context of those from previous studies.

5.1. Uncertainties

[30] The small magnitude and spatial extent of LNO_2 enhancements make precise measurements difficult, as reflected in the large uncertainties in moles per flash obtained this study. We distinguish between two types of errors: (1) those related to pixel-scale measurement variability, which we treat as statistical errors, and (2) systematic errors associated with larger-scale variability. The latter are the largest component of the overall uncertainties in the moles per flash numbers. In this section we discuss the estimation of both types of errors and their propagation. To identify all sources of uncertainty, equation (1) can be rewritten explicitly as follows:

$$V_L = \sum w_i \left[S_i - \left(V_{si} - V_{tc\,i} \cdot \overline{A}_t / \overline{A}_s + \delta V_s \right) \cdot A_{si} - \left(V_{tbgi} + \delta V_t \right) \cdot A_{tbgi} \right] / A_{tLi}. \quad (10)$$

The summation in equation (10) is over all pixels, i, in the region of interest (bounded by the perimeters in Figure 3). As in equation (1) all quantities are unitless except the V and S terms, which have units of column density. The individual pixel errors are computed independently for each term subscripted with i and are assumed, for simplicity, to be uncorrelated. Here the w_i are weighting factors based on the pixel area. The errors in the slant columns, Si, were derived in the spectral fit and found to be consistent with the pixel-to-pixel spatial variability of slant columns. The terms δV_s and δV_t are modifications to equation (1) and identify sources of systematic error, relatively independent of individual pixels. They stand for potential biases in the derived stratosphere and troposphere, respectively. These terms, described below, have mean values of zero, but are given fixed finite uncertainties, independent of pixel area.

[31] The random error at each pixel results from uncertainties in several terms (each subscripted with i) in equation (10). The small-scale uncertainty in the OMI stratospheric column, V_{si}, is conservatively estimated to be 0.2×10^{15} cm^{-2} [*Boersma et al.*, 2004; *Bucsela et al.*, 2006]. The model column amount V_{tci} is assigned a random error of 40% on the basis of a set of clean profiles measured during TC^4 and consistent with GMI model variability in the region of the TC^4 study (see Figure 5). The same 40% random error is assumed for $V_{tbg\ i}$, also from GMI. Errors in the AMFs depend on estimates of cloud parameters, surface albedos, and a priori profile shape variability. They are computed using an off-line algorithm [*Wenig et al.*, 2008] that improves on the OMNO2 collection 3 uncertainties. The largest sources of error in each AMF are the clouds, which can shield or enhance the visibility of NO_2. For each pixel, the errors in cloud fraction and cloud pressure are propagated into the overall AMF error on the basis of radiative transfer and the uncertainty in the amount of NO_2 masked by the cloud. This uncertainty is large (on the order of 100%) in the case of convective clouds, since they shield most of the troposphere and can significantly modify the NO_2 distribution beneath them. Clouds also affect the NO_x photolysis ratio. The uncertainty in γ is roughly 50% in the upper troposphere, decreasing to ~10% near the surface. Since most of the LNO_x is observed in the upper troposphere, we conservatively assign an uncertainty of 50% to the photolysis ratios, and this uncertainty leads to an additional 50% error in each value of A_{tLi}.

[32] The uncertainty in δV_s is a large source of error. We compute this error from an estimate of the potential error introduced by the wave 2 method used to derive the stratosphere in the OMI NO_2 algorithm. Other NO_2 satellite retrieval algorithms employ the Pacific Reference Sector (PRS) method [e.g., *Martin et al.*, 2002; *Richter and Burrows*, 2002], which assumes a constant stratospheric amount at each latitude on the basis data over the central Pacific Ocean at that latitude. The DOMINO algorithm used to process OMI NO_2 data for the Dutch near-real time product assimilates OMI slant columns into the TM4 model, weighting the data according to model estimates of tropospheric contamination [*Boersma et al.*, 2007]. Our comparisons of these models show that stratospheric estimates at middle and high latitudes can differ by as much as 0.5 to 1.0×10^{15} cm^{-2}. At tropical latitudes, the differences tend to be smaller, on the order of 0.1 to 0.2×10^{15} cm^{-2}. Stratospheric fields from both methods for the 21 July case are shown in Figure 5. For the 4 days examined in this study, the PRS and wave 2 methods were tested and gave mean stratospheric values that varied by 0.01 to 0.12×10^{15} cm^{-2}, with an average difference of 0.07×10^{15} cm^{-2}. For the model correction due to contamination of the stratosphere by small amounts of tropospheric NO_2, we estimate an uncertainty of $\sim 0.05 \times 10^{15}$ cm^{-2}. Combining these values we adopt a conservative estimate of the potential systematic error in the tropical stratosphere of 0.1×10^{15} cm^{-2}, or about 4%.

[33] The OMI tropospheric background columns are shown in Figure 6. The error in the background is another significant source of uncertainty in the analysis. In this study, the

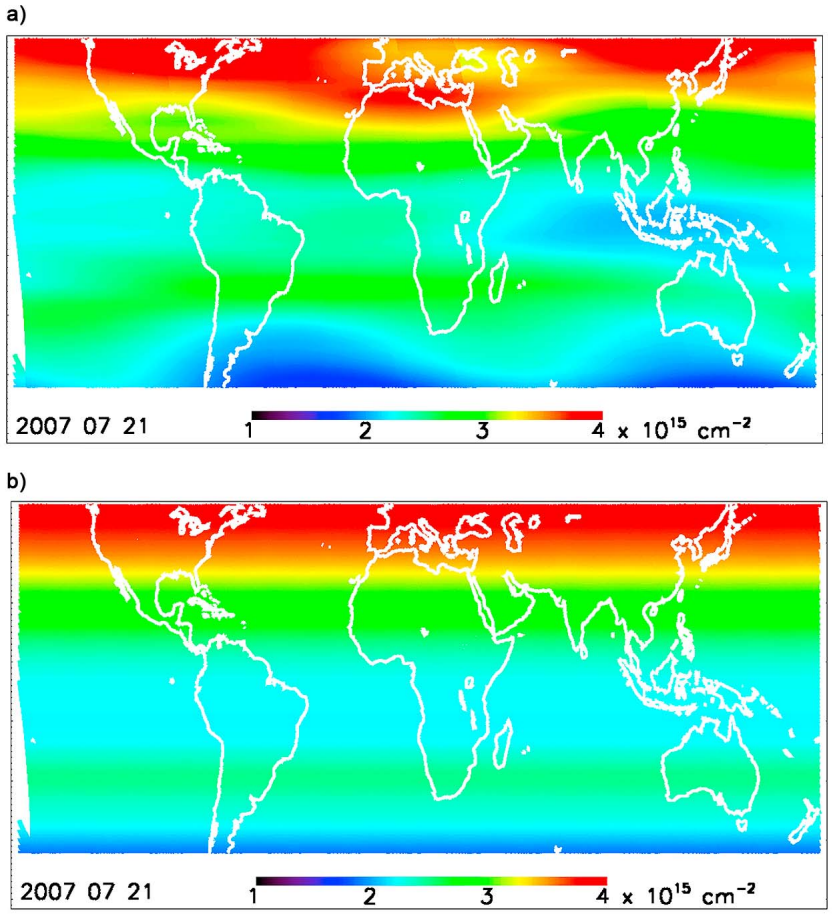

Figure 5. Corrected stratospheric field estimated from OMI data for 21 July 2007 using (a) the planetary-wave analysis up to wave 2 and (b) the PRS method. Both fields have been corrected by subtracting a model GMI tropospheric background, equal to ~5% of the stratospheric column value.

standard deviation of pixel variation in each 2 × 2.5 deg^2 grid cell is used to represent the statistical (pixel-to-pixel) uncertainty in the background, and the standard error of the mean is taken as the systematic uncertainty. This estimate of the systematic uncertainty assumes that the approach used in this study for computing the background (i.e., from OMI data on days without convection) is reasonable. Here we briefly examine several alternative approaches considered in this study for obtaining this background, including the use of in situ data and GMI model calculations to estimate the background. In one approach, a composite profile was constructed from DC-8 measured profiles that showed relatively small amounts of NO_2 above 600 hPa, where most LNO_2 is typically found. The integrated tropospheric column for this profile was $0.67 \pm 0.29 \times 10^{15}$ cm^{-2}, which is generally consistent with the gridded columns from OMI (Figure 6). However, use of this fixed value does not account for spatial gradients evident in the tropospheric NO_2 field. We also investigated use of model background fields computed from GMI runs in which the lightning source was turned off. Such backgrounds were less than half of those derived from OMI. Since they do not account for NO_2 from lightning flashes not included in the flash-count estimates (e.g., from storms on previous days), they are unlikely to accurately

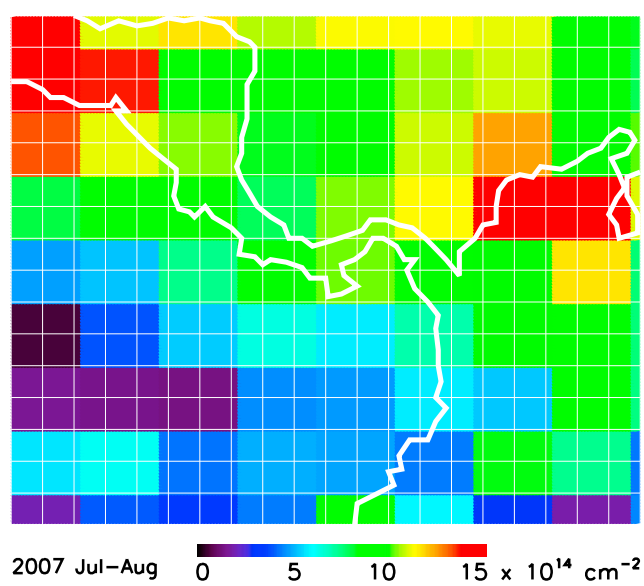

Figure 6. OMI tropospheric NO_2 background averaged over data 5 days of minimal convective activity in July and August 2007.

Table 2a. LNO_x in Each Region and Contributions to the Error Budget

Date	Value (kmol)	Statistical Error (kmol)	Stratosphere, Troposphere, and Profile Error (kmol)	Region-Selection Error (kmol)	Combined Error (kmol)
17 July	430	±419	±1149	±162	±1234
21 July	2765	±557	±2037	±104	±2114
31 July	3490	±778	±3828	±1008	±4034
5 August	2363	±508	±1986	±650	±2151

represent the background field. Moreover, any model calculations or in situ measurements are likely to contain unknown biases relative to OMI data. Subtracting a background computed in the same way as the total NO_2 column measurements (i.e., from OMI data) helps to minimize such biases.

[34] Results of this study were found to be relatively insensitive to the background NO_2 or LNO_2 profile shapes. In the case of the LNO_2 profile, subtraction of the background component from the composite, as described in section 3.1, affected the value of A_{tLi} at any given pixel by only about 5%. This finding is reasonable, since the subtraction only slightly affects the scaling factors (A_{tLi}) used to convert the LNO_2 slant columns to LNO_x vertical columns (i.e., it does not remove the background column Vt_{BG}). Most of the NO_2 in the lightning profile exists above 700 hPa. Effects of profile shape changes on A_{tLi} in this region are mainly due to the gradient in photolysis ratio as a function of pressure level (Figure 2b). We examined the effect of replacing the LNO_2 profile in Figure 2a with a profile composed only of the DC-8 anvil data that has negligible NO_2 below 300 hPa. The result was a ~20% change in the value of A_{tLi}. We treat this change as a systematic uncertainty in the results and include it in the error calculation, although its effect on the total error budget is negligible.

[35] An additional systematic source of error in the computed moles of LNO_x results from the selection of the geographic area of interest. One component is imprecise knowledge of the wind fields, which makes the position of the regions' centers uncertain. We did not attempt detailed trajectory analysis of the convective outflow in this study, given the difficulty in estimating convective perturbations to the analyzed ambient winds during the few hours between storm development and OMI overpass. Therefore, we have used the mean wind speed and direction in the vicinity of the storm and immediately downwind from the 300 hPa NCEP analysis and the number of hours between storm development and the OMI overpass to estimate the region affected by the outflow. This region generally corresponded to the location of enhancements in LNO_x downwind of the storm. Assuming the 10–15% variability of the analyzed winds from NCEP and lightning occurring throughout a 12 h period preceding the OMI overpass, we estimate the transport distance along the mean wind vectors to have an error less than or equal to ±0.3° of latitude. Adjusting the geographic positions of the regions by this amount along the wind vectors allows us to estimate the sensitivity of the LNO_x calculation to the wind field.

[36] Another uncertainty in the region selection is the size of each area. We estimate that storm-outflow regions can be identified in the OMI NO_2 field to a resolution of ~1° and have drawn the perimeters in each case accordingly (see Figure 4). From this we obtain the approximate uncertainty in the enclosed areas following the approach of *Ghilani* [2000] and uniformly expand and shrink the regions by the same amount to determine the effect on the derived moles of LNO_x.

[37] The combined effects of the uncertainties in the regions' areas and positions lead to uncertainties in the computed number of moles on the order of 20–30%. The areal uncertainty makes the larger contribution. Further uncertainties exist because of the possible contamination due to LNO_x from neighboring convective systems, for which lightning counts were not available. Although the region perimeters were drawn to minimize such contamination, nearby storms potentially influenced the results for each day, except 17 July. Because we did not estimate the magnitude of this influence in this study, the moles LNO_x and moles per flash estimates we obtained must be considered upper limits, and the uncertainties may be larger than those indicated here.

[38] The final source of error is uncertainty in the number of flashes that contribute to the LNO_x enhancements. The flash-count error depends on the method used to obtain the counts. For the 31 July case, the adjusted counts were obtained from the CRLDN and have an error of 10–20%. In the other cases, the adjustment factor $\varepsilon_W = 4.57$ used to scale the WWLLN has an uncertainty of ±1.66, or 36%.

[39] Table 2a summarizes the error sources in the calculation of LNO_x, and Table 2b shows their contributions along with those of flash uncertainties to the overall error in each of the four cases. As seen in Table 2a, the largest sources of error are the systematic error in the stratosphere and tropospheric background over the region. In the table, we combine these errors with the systematic profile-shape uncertainty, which makes a smaller contribution. The uncertainty in the flash count rate is shown in Table 2b. The random variations can be large for a given pixel, but are a small part of the error budget due to the statistical averaging of a large number of OMI pixels. Although our calculation of the relative error is largest for the 17 July case, this case was less affected by neighboring convection (which is not explicitly accounted for here) than by the other days; consequently, the actual uncertainties on those days may be larger than shown.

5.2. Additional Analysis Considerations

[40] As outlined in section 2.2, the procedure we use to extract the LNOx signal from OMI data is a customized retrieval algorithm optimized for the TC^4 study. However, it also includes modifications to the OMNO2 data (including

Table 2b. LNO_x and Flash-Count Errors and Their Contribution to Production Efficiency Error

Date	LNO_x (kmol)	Lightning Flashes (IC + CG)	LNO_x Production P_{IC+CG} (mol/flash)
17 July	430 ± 1234	4931 ± 1775	87 ± 252
21 July	2765 ± 2114	20,515 ± 7385	135 ± 114
31 July	3490 ± 4034	14,190 ± 2129	246 ± 287
5 August	2363 ± 2151	10,388 ± 3740	227 ± 223

Table 3. Lightning NO_x Enhancement Factors

Date	NO_x (pptv) DC-8 in Cloud	NO_x (pptv) DC-8 Clear Sky	Enhancement Factor (DC-8)	OMI LNO_x (10^{15} cm^{-2})	NO_x Background (10^{15} cm^{-2})	Enhancement Factor (OMI)
17 July	110	60[a]	1.83	0.16	0.81	1.20 ± 0.6
21 July	538	309	1.74	0.86	2.38	1.36 ± 0.3
31 July	876	375	2.34	0.44	1.10	1.40 ± 0.5
5 August	357	152	2.35	0.58	1.37	1.42 ± 0.4

[a]Taken from GMI model because of a lack of clear-sky observations unaffected by storm outflow or pollution plumes.

improved error estimates) that are being considered in a future release of this data product. Modifications (1)–(3) were found to alter the production-rate values obtained in this study by up to 40% when combined, and by a factor of two or more if the changes are implemented separately. The smaller discrepancy for the combined modifications is because some changes (e.g., the stratospheric correction) increases the LNO_2 signal, while others (e.g., the inclusion of negative tropospheric values) act to decrease the mean signal. These findings highlight the importance of careful analysis as well as the general difficulty in determining lightning NO_2 enhancements from satellite observations of individual storms.

[41] Additional independent aircraft data are available for comparison with the satellite measurements. We examined the NO_x enhancement over background due to lightning as computed from OMI and compared results with estimates from the in situ DC-8 observations within and near the observed convective systems. For times when either NO or NO_2 was missing from the aircraft data set, we estimated it using a photostationary state calculation. Table 3 presents the means and standard deviations of the in-cloud and nearby clear-air aircraft observations, the OMI LNO_x column amounts, and the column amounts of NO_x in the tropospheric background as estimated from OMI tropospheric NO_2 on nonconvective days. In Table 3, OMI LNO_x column represents the signal above the background (i.e., not the total NO_x column). The aircraft enhancements are computed as the ratio of the in-cloud measurements to the clear-air measurements. Although it is likely that most of the NO_2 profiles from DC-8 contained at least some lightning-generated NO_2 in the tropospheric column, the anvil-level clear-air measurements used for these ratios were carefully screened for LNO_2 contamination. The LNO_x enhancement in the broader-scale convective outflow (as seen by OMI) should be less than but roughly proportional to the in-anvil enhancement (as measured by the DC-8). The DC-8 data show enhancement factors due to lightning of between 1.74 and 2.35. Enhancements in the OMI LNO_x column are calculated as the sum of OMI + background, divided by background. The OMI enhancement factors range from 1.2 to 1.4. These values are somewhat smaller than the DC-8 factors as might be expected, since the OMI ratios are derived from column rather than in situ measurements. The OMI background columns, in particular, include significant NO_x in the lower troposphere that was not included in the DC-8 calculation.

5.3. Other Studies of LNO_x Production per Flash

[42] The production efficiencies for LNO_x from the storms in this study are between ~100 and 250 mol/flash. This range is relatively modest given the wide range found in the literature and the large uncertainties in the results. The mean value over the 4 cases of 174 mol/flash is lower than the 360 mol/flash derived by *Ott et al.* [2007] in their analysis of a midlatitude storm. However, it is comparable to the production rates that *Huntrieser et al.* [2008] obtained in their study of tropical and subtropical storms during the Brazilian Tropical Convection, Cirrus and Nitrogen Oxides Experiment (TROCCINOX) experiment. Using total flash counts derived from LIS measurements, *Huntrieser et al.* [2008] estimated production of 1–3 kg(N)/flash, which corresponds to ~70–200 mol/flash. They hypothesized that the smaller production rates for the lower-latitude storms were related to disparities in production by flashes at different latitudes, as we discuss below.

[43] *Ott et al.* [2010] summarized analyses of five midlatitude and subtropical storms simulated using a 3-D cloud-scale model. The storms were observed during the STERAO, EULINOX, and CRYSTAL-FACE field campaigns. They derived production efficiencies for CG flashes on the basis of observations of the CG and IC flash rates and on comparisons of their model simulations with aircraft observations of NO_x in the storms. They also compared their results to estimates of P_{CG} from *Price et al.* [1997] and *Fehr et al.* [2004]. With the exception of the *Price et al.*'s [1997] theoretical value of $P_{IC}/P_{CG} = 0.1$, most recent results indicate that IC and CG flashes produce equal amounts of NO on average, in agreement with the recommendation of *Ridley et al.* [2005]. Therefore, for the purpose of comparing results of the present study with the P_{CG} and P_{IC} estimates from *Ott et al.* [2010] and other studies, we adopt a value of unity for P_{IC}/P_{CG}. These comparisons are shown in Figure 7 as a function of latitude and anvil-level wind speed. Although there appears to be no universal relationship linking production per flash to latitude or anvil-level wind speed, for a particular experiment larger production-per-flash values are associated with stronger upper level winds. We also note some tendency for lower (higher) production rates among the studies to occur with storms at lower (higher) latitudes.

[44] The average number of moles per flash over the four cases from the present study of tropical convection (174) is lower than the ~500 mol/flash average derived from the midlatitude and subtropical storms of the *Ott et al.* [2010] study. Ott et al. extrapolated the 500 mol/flash to estimate global LNO_x production at 8.6 Tg (N) yr^{-1}, which is near the high end of the range of 2 to 8 Tg (N) yr^{-1} from *Schumann and Huntrieser* [2007]. They suggest the high value may be due to neglect of tropical storms in their study. The lower production rates of the present TC^4 study are consistent with the hypothesis that NO_x production per flash is typically lower in the tropics than at higher latitudes. A

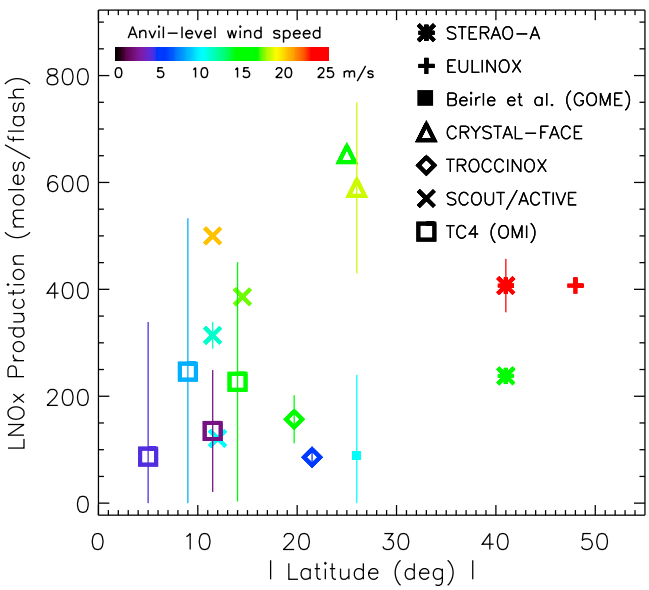

Figure 7. Mean LNO_x production, P_{IC+CG}, for all lightning flashes produced by storms analyzed in TC^4 compared to those of previous studies. Colors indicate approximate wind speeds in the upper troposphere.

possible reason for the latitudinal variation relates to the nature of lightning flashes in storms at low and middle latitudes. In general, the LNO_x production rate for a given flash depends on the intensity of the flash, the flash length, and the pressures at which the flash occurs. Although a greater fraction of a CG flash occurs at higher pressure than an IC, this effect may be counterbalanced, in midlatitude storms by the longer IC flash lengths [*Ott et al.*, 2007, 2010], leading to near equal LNO_x production per flash for IC and CG flashes. *Huntrieser et al.* [2008] hypothesize that flash lengths in midlatitudes and subtropics are greater than flash lengths in the tropics because of greater vertical wind shear at the higher latitudes, leading to greater LNO_x production per flash outside of the tropics. Our results for the storms of 17 and 21 July showed production rates (averaged over IC and CG flashes) close to the low end of the range from the TROCCINOX analysis of *Huntrieser et al.* [2008] and somewhat larger rates for the storms of 31 July and 5 August. Anvil-level winds were stronger in the 300 hPa NCEP reanalysis fields for 31 July and 5 August than for 17 and 21 July, suggesting possible longer flash lengths in these cases, with greater LNO_x production per flash. It is also possible that contamination from nearby convection (not included in the flash counts) may have contributed to the larger LNO_x amounts on those days, but this may have also been the case for one of the days with low LNO_x production rate (21 July).

5.4. Flash Footprints

[45] Further evidence for the effects of wind shear may be seen in the LIS data, which can be used to obtain information on the extent of lightning flashes. The LIS sensor operates as a lightning event detector on a charge coupled device (CCD). An event is defined as the occurrence of a single CCD pixel exceeding the background threshold during a single frame. Because a single pixel will almost never correspond to the exact cloud illumination area, a lightning discharge will often illuminate more than one pixel during a single integration time. The result is two or more events that are clustered in space and time (groups). A lightning flash may also correspond to several related groups in a limited area [*Christian et al.*, 1994]. Integrating the area of all CCD pixels involved in a flash provides the "footprint" of the flash [*Boccippio et al.*, 1998], which can be interpreted as its horizontal extent.

[46] LIS viewed only one of the four storms analyzed here (21 July). Figures 8a and 8b show the flash rate density and the event rate density of the 21 July case, respectively. It can be seen that all convective cores of the cloud (orange tones in Figure 1b) produced flashes, at a rate up to 7.46 flashes km^{-2} s^{-1} on the north cell. Although only a few flashes were detected in the center of the storm, the event rate density shows that area illuminated by those flashes corresponds to a fairly large extent of the convective cores, delineating the sum of flash footprints. The statistics of individual flash footprints of the 21 July case is presented in Figure 8c, and is compared to the statistics of all LIS flashes recorded throughout the tropics (35°S to 35°N) during the boreal summer (June, July, and August) of 2007 (Figure 8d). Note that the distribution for the 21 July storm north of Colombia is skewed toward smaller footprint sizes (<556 km^2) compared with the nearly perfect Gaussian distribution for 2007 boreal summer. Assuming that the LIS footprint can be considered a proxy for flash length, this result suggests that there was a greater frequency of short flashes for this storm than is typical for this latitude band. The small magnitude of the LNO_x production per flash obtained from our analysis of OMI NO_2 data for this storm, combined with the weak upper tropospheric wind speeds and the smaller LIS footprint, supports the *Huntrieser et al.* [2008] hypothesis.

6. Conclusions

[47] We have developed an algorithm to retrieve realistic LNO_x signals from OMI. Improvements over the standard retrieval include a more exact treatment of the stratospheric NO_2 column and an improved cross-track anomaly correction. To customize the retrieval for LNO_x, we have removed background tropospheric NO_2 column amounts using the GMI model, and used an AMF appropriate for a profile shape characteristic of convective outflow (based on TC^4 aircraft observations). The technique has been applied to four TC^4 flight day convective events occurring over the ocean offshore from Costa Rica, Panama, and Colombia. Combining these TC^4 data with flash observations, we estimate LNO_x production per flash for each of the selected cases. Owing to the small LNO_x signals in these cases, and the large uncertainties inherent in the analysis (notably in the background stratospheric and tropospheric estimates) the uncertainties in the retrieved LNO_x amounts are very large. However, results from our study are generally consistent with previous tropical estimates of lightning NO_x production rates and lower than rates at higher latitudes. The findings indicate that LNO_x production per flash was ~200–250 mol/flash for two cases with stronger upper level winds, and near 100 mol/flash for two cases with weaker anvil-level transport. Flash footprint size information from the LIS instrument suggests that for the storm with the smallest LNO_x

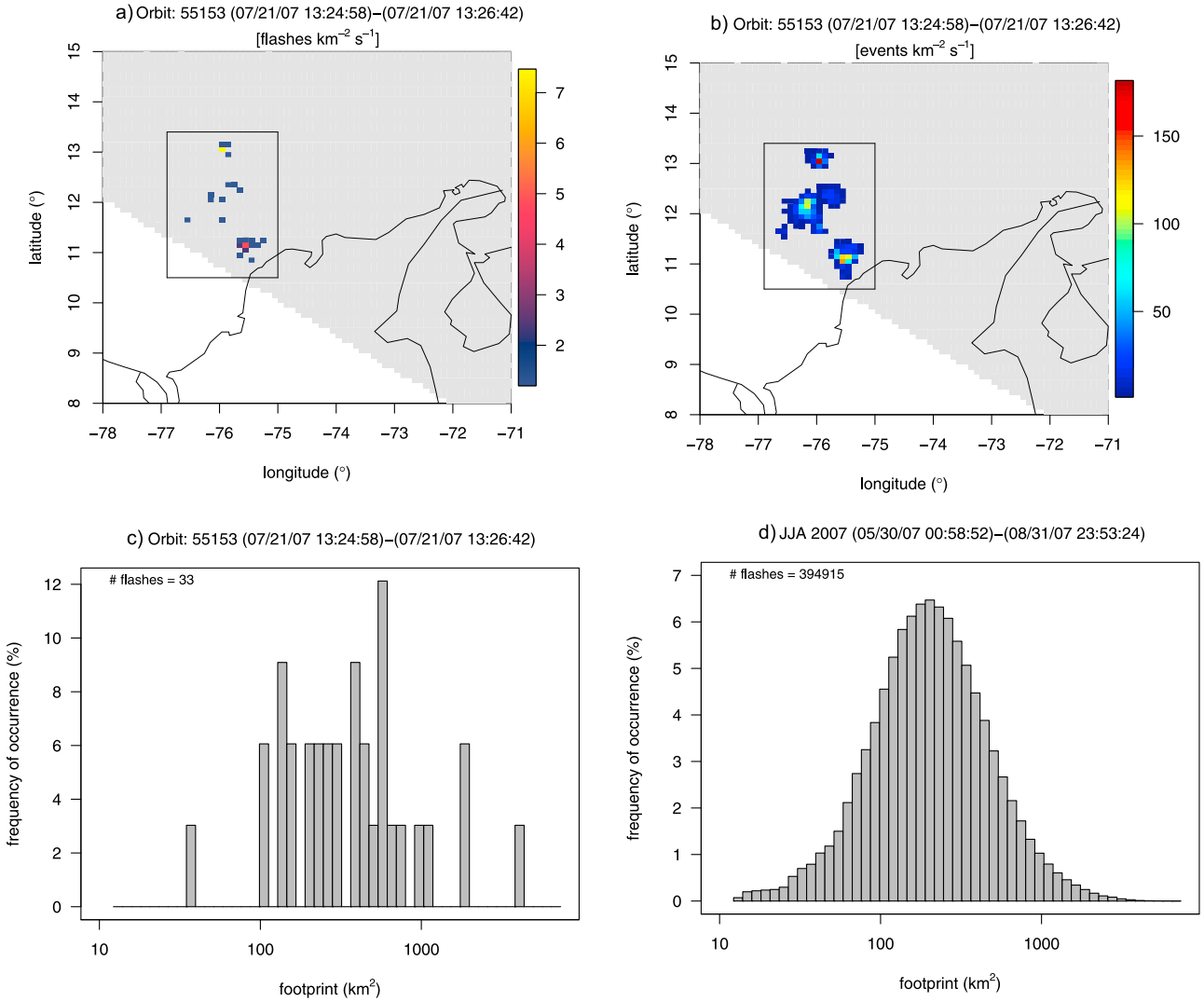

Figure 8. LIS (a) flash rate density (flashes km^{-2} s^{-1}) and (b) event rate density (events km^{-2} s^{-1}), gridded in 0.1° × 0.1°, prior to the OMI overpass on the 21 July 2007 case. The light gray shaded area corresponds to LIS field of view during this orbit passage. Frequency of occurrence of flash footprints during LIS observations of (c) the 21 July 2007 case and (d) 2007 boreal summer (June, July, and August (JJA)).

production per flash estimate the flash lengths were shorter than is typical. The enhancement due to LNO_x above background levels determined using OMI NO_2 data is in agreement with the enhancement seen in in situ anvil NO_x observations over background observations taken by the DC-8 aircraft in TC^4, thereby providing validation of the LNO_x retrieval method.

[48] **Acknowledgments.** This research was supported by the NASA Aura Validation Program. Lightning data from the Costa Rica Lightning Detection Network were provided by Instituto Costarricense de Electricidad.

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